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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

| Attorney Docket No. | 34303/102 | | | | | |
|---|--------------------------|--|--|--|--|--|
| First Inventor | Michael Kauschke, et al. | | | | | |
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(Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. EV 330813514

| APPLICATION ELEMENTS | ADDRESS TO: Assistant Commissioner for Paterits Box Patent Application | | | | |
|--|--|--|--|--|--|
| See MPEP chapter 600 concerning utility patent application contents. | Washington, DC 20231 | | | | |
| Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) | 7. CD-ROM or CD-R in duplicate, large table or | | | | |
| Applicant claims small entity status. See 37 CFR 1.27. | Computer Program (<i>Appendix</i>) 8. Nucleotide and/or Amino Acid Sequence Submission | | | | |
| Specification [Total Pages 37] | (if applicable, all necessary) a Computer Readable Form (CRF) | | | | |
| (preferred arrangement set forth below) Descriptive title of the invention | b. Specification Sequence Listing on: | | | | |
| Cross Reference to Related Applications | i. CD-ROM or CD-R (2 copies); or | | | | |
| Statement Regarding Fed sponsored R & D Reference to sequence listing, a table. | | | | | |
| or a computer program listing appendix - Background of the Invention | i i paper c Statements verifying identity of above copies | | | | |
| - Brief Summary of the Invention | ACCOMPANYING APPLICATION PARTS | | | | |
| Brief Description of the Drawings (if filed) Detailed Description | 9. Assignment Papers (cover sheet & document(s)) | | | | |
| - Claim(s) | 37 CFR 3.73(b) Statement Power of | | | | |
| - Abstract of the Disclosure | 10. (when there is an assignee) Attorney | | | | |
| 4. Drawing(s) (35 U.S.C. 113) [Total Sheets] | 11. English Translation Document (if applicable) | | | | |
| 5. Oath or Declaration [Total Pages 6] | 12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations | | | | |
| a. Newly executed (original or copy) | 13. Preliminary Amendment | | | | |
| b. Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed) | 14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized) | | | | |
| i. DELETION OF INVENTOR(S) | 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) | | | | |
| Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 | | | | |
| 6. Application Data Sheet. See 37 CFR 1.76 | or its equivalent. | | | | |
| | 17. Other: | | | | |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply or in an Application Data Sheet under 37 CFR 1.76: | the requisite information below and in a preliminary amendment, | | | | |
| Continuation Divisional Continuation-in-part (CIP) | of prior application No.: 09/817,013, filed 3/23/01 | | | | |
| Prior application information: Examiner Leo B. Tentoni | | | | | |
| Prior application information: Examiner Leo B. Tentoni For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the p Box 5b, is considered a part of the disclosure of the accompanying continuation. | rior application, from which an oath or declaration is suppried under | | | | |
| The incorporation can only be relied upon when a portion has been inadverten | tly omitted from the suit franche shall be the same of | | | | |
| 19. CORRESPONDEN | CE ADDRES Esposited with the Dalles States Seems Secretary | | | | |
| Customer Number or Bar Code Label (Insert Customer No, or Attach bar co | the liabel here) 1.10 ce the first that the liabel here is the liabel here. | | | | |
| Name Neal L. Rosenberg, Esq. | Commissioner the Papers, TO that 1416, Allemandris, VII | | | | |
| AMSTER, ROTHSTEIN & EBENS | The second secon | | | | |
| Address 90 Park Avenue | NEAL L. Rose Levy | | | | |
| City New York S | tate NY ZIP Code 10016 | | | | |
| Country US Teleph | none 212-697-5995 Fax 212-286-0854 | | | | |
| Name (Print/Type) Neal L. Rosenberg, Esq. Registration No. (Attorney/Agent) 21,088 | | | | | |
| Signature New INC | () C n | | | | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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| CEE TO AND | CRAITTAL | Complete if Known | | | | |
|---|---------------|----------------------|---|--|--|--|
| FEE TRANS | SIVILLIAL | Application Number | Unknown | | | |
| for FY 2003 Effective 01/01/2003. Patent fees are subject to annual revision. Applicant claims small entity status. See 37 CFR 1.27 | | Filing Date | Herewith Michael Kauschke,et al. LeoB.Tentoni | | | |
| | | First Named Inventor | | | | |
| | | Examiner Name | | | | |
| | | Art Unit | 1732 | | | |
| TOTAL AMOUNT OF PAYMENT | (\$) 1,098.00 | Attorney Docket No. | 34303/102 | | | |

| METHOD OF PAYMENT (check all that apply) | FEE CALCULATION (continued) | | | | | |
|--|-----------------------------|----------------------|----------|---------------------|--|--------------------|
| ✓ Check Credit card Money Other None | 3. ADDITIONAL FEES | | | | | |
| Deposit Account: | Large I | Entity | Small | Entity | | |
| Deposit Account. | Fee Code | Fee | | Fee | Fee Description | |
| Account 01-1785 | 1051 | (\$) 130 | 2051 | (\$) 65 | Surcharge - late filing fee or oath | Fee Paid |
| Number Deposit | 1052 | 50 | 2052 | | Surcharge - late provisional filing fee or | |
| Account Name | 1002 | 50 | 2002 | 20 | cover sheet | |
| The Commissioner is authorized to: (check all that apply) | 1053 | 130 | 1053 | | Non-English specification | |
| Charge fee(s) indicated below Credit any overpayments | 1812 | | 1812 | -, | For filing a request for ex parte reexamination | |
| Charge any additional fee(s) during the pendency of this application | 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| Charge fee(s) indicated below, except for the filling fee to the above-identified deposit account. | 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| FEE CALCULATION | 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| 1. BASIC FILING FEE | 1252 | 410 | 2252 | 205 | Extension for reply within second month | |
| Large Entity Small Entity | 1253 | 930 | 2253 | 465 | Extension for reply within third month | |
| Fee Fee Fee Fee Fee Fee Paid Fee Paid Code (\$) | 1254 | 1,450 | 2254 | 725 | Extension for reply within fourth month | |
| 1001 750 2001 275 Helita filos foo | 1255 | 1,970 | 2255 | 985 | Extension for reply within fifth month | |
| 1002 330 2002 165 Design filling fee | 1401 | 320 | 2401 | 160 | Notice of Appeal | |
| 1003 520 2003 260 Plant filing fee | 1402 | 320 | 2402 | 160 | Filing a brief in support of an appeal | |
| 1004 750 2004 375 Reissue filing fee | 1403 | 280 | 2403 | 140 | Request for oral hearing | |
| 1005 160 2005 80 Provisional filing fee | 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| SUBTOTAL (1) (\$) 750.00 | 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| | 1453 | 1,300 | 2453 | 650 | Petition to revive - unintentional | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | 1501 | 1,300 | 2501 | 650 | Utility issue fee (or reissue) | |
| Extra Claims below Fee Paid | 1502 | 470 | 2502 | 235 | Design issue fee | |
| Total Claims 30 -20** = 10 x 18 = 180 Independent 5 21* = 5 X 44 169 | 1503 | 630 | 2503 | 315 | Plant issue fee | |
| Claims | 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| Multiple Dependent = | 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description | 1806 | 180 | 1806 | | Submission of Information Disclosure Stmt | |
| Code (\$) Code (\$) | 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | , t t _s |
| 1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3 | 1809 | 750 | 2809 | 375 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1203 280 2203 140 Multiple dependent claim, if not paid | 1810 | 750 | 2810 | 375 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1204 84 2204 42 ** Reissue independent claims over original patent | 1801 | 750 | 2801 | 375 | Request for Continued Examination (RCE) | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent | 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |
| SUBTOTAL (2) (\$) 348.00 | Other | fee (sp | ecify) _ | | | |
| **or number previously paid, if greater; For Reissues, see above | *Redu | ced by | Basic F | iling F | ee Paid SUBTOTAL (3) (\$) | |
| | | | | | | |

| SUBMITTED BY | | (Complete (if applicable) | | | | |
|-------------------|-------------------------|---------------------------|--------|------------------------|--------------|--|
| Name (Print/Type) | Neal L. Rosenberg, Esq. | Registration No. | 21,088 | Telephone 212-697-5995 | | |
| Signature | Well 18 | Comen 5 | | Date | June 25 2003 | |

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.